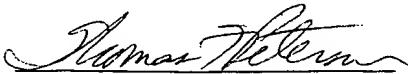


414 Rec'd PCT TO 12 NOV 1999 #7
Certification under 37 CFR 1.1(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 on November 9, 1999.

Thomas F. Peterson

Name


Signature

DOCKET: CU-2003

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Ian Charles OGILVY)
SERIAL NO: 09/381,143)
TITLE: METHOD AND APPARATUS FOR)
CONTROLLING COMMUNICATIONS)
COMPLETION OF PCT/AU98/00173 filed 16 March 1998)

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DEC-8 1999
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The Assistant Commissioner for Patents (DO/EO/US)
Box PCT
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Dear Sir:

This is in response to the Notification of Missing Requirements dated November 4, 1999, Form PCT/DO/EO/905, a copy of which is attached herewith.

The Office is advised that the Combined Declaration & Power of Attorney for this application was filed on October 22, 1999. Payment of the surcharge was included with the filing fee on September 14, 1999. A copy of the postcard which has been date-stamped by the Office acknowledging receipt of the Declaration is attached herewith.

It is therefore believed that all formal requirements of the Office have now been met.

Respectfully submitted,

Nov. 9, 1999

Date

uspct/3


Thomas F. Peterson, Attorney for Applicant

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Chicago, Illinois 60604
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

09/381,143

US APPLICATION NO.

PCT/US

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

SL 2000

99 NOV 9

INTERNATIONAL APPLICATION NO.

PCT/AU98/00173

5071

LADAS & PARRY
224 SOUTH MICHIGAN AVENUE
CHICAGO IL 60604

I.A. FILING DATE PRIORITY DATE

03/16/98

03/14/97

DATE MAILED:

11/04/99

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494), an Elected Office (37 CFR 1.495):

U.S. Basic National Fee.
 Copy of the international application in: a non-English language. English.
 Translation of the international application into English.
 Oath or Declaration of inventors(s) for DO/EO/US.
 Copy of Article 19 amendments.
 Translation of Article 19 amendments into English.
 The International Preliminary Examination Report in English and its Annexes, if any.
 Translation of Annexes to the International Preliminary Examination Report into English.
 Preliminary amendment(s) filed Sept. 14, 1999 and _____.
 Information Disclosure Statement(s) filed _____ and _____.
 Assignment document.
 Power of Attorney and/or Change of Address.
 Substitute specification filed _____.
 Statement Claiming Small Entity Status.
 Priority Document.
 Copy of the International Search Report and copies of the references cited therein.
 Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: PCT/DO/EO/917 Notice of Defective Translation
 PTO-875

BC

Barbara Campbell
National Stage Processing

Telephone: (703) (703) 305-3331